



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/453,801	12/03/99	CHATTERJEE	1954-287

0212/0119

MARTHA CASSIDY
ROTHWELL FIGG ERNST & KURZ
SUITE 701 EAST
555 13TH STREET NW
WASHINGTON, DC 20004

NOT ASSIGNED

DATE MAILED:

01/19/00

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 4,272.00

☒ 1. The statutory basic filing fee is:

☒ missing

☐ insufficient

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 324.00 for 18 total claims over 20.

\$ 78.00 for 1 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER

Direct the reply and any questions about this notice to: Attention: Box-Missing Parts

A copy of this notice MUST be returned with the reply.

C. Baird

Customer Service Center
Initial Patent Examination Division (703) 308-1202

FORM PTO-1533 (REV. 9/98)

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED/DOCKETING	
ROTHWELL FIGG ERNST & KURZ	
JAN 24 2000	
REFERRED TO	FILE NO.
OK TO FILE	1954-287
U.S. GPO 1999 450-5875	

BEST AVAILABLE COPY



SECTOR 4

1954-287
MC:lw

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
S. CHATTERJEE et al.) Box Missing Parts
Serial No. 09/453,801) Attention:
Filed: December 3, 1999) Applications Branch
For: A METHOD OF GENETICALLY MODIFYING VERY
PRIMITIVE QUIESCENT HUMAN, HEMATOPOIETIC STEM
CELLS

RESPONSE TO NOTICE TO FILE MISSING PARTS
AND
CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. §1.48(f)(1)

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to a Notice to File Missing Parts of Application under 37 CFR 1.53(b) dated January 19, 2000, response copy attached, A Declaration and Power of Attorney identifying the above-captioned application by serial number and filing date is being herewith submitted. Under 37 C.F.R. §1.48(f)(1), the submission of this executed Declaration corrects the identification of inventorship which erroneously omitted Grace Fisher-Adams in the filing of the application made December 3, 1999. Also attached is a check in payment of the following fees:

\$ 65.00 (surcharge under 37 C.F.R. 1.16(e))
380.00 (basic filing fee)

Serial No. 09/453,801

201.00 (additional claims fee)
\$ 646.00 TOTAL


Please charge any additional fees or credit any overpayment for the payment of the filing fee, any extra claims fees or the surcharge to deposit account number 02-2135. An additional copy of this letter is enclosed for that purpose.

The following documents are being submitted concurrently under separate cover:

- A declaration claiming small entity status; and
- Assignment.

Respectfully submitted,

By


Martha Cassidy
Attorney for Applicants
Registration No. 44,066
ROTHWELL, FIGG, ERNST & KURZ, p.c.
Suite 701-E, 555 13th Street, N.W.
Washington, D.C. 20004
Telephone: (202) 783-6040

Enclosure: Executed Declaration & Power of Attorney

1954-287.NMP

Serial No. 09/453,801

201.00 (additional claims fee)
\$ 646.00 TOTAL

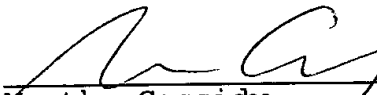
Please charge any additional fees or credit any overpayment for the payment of the filing fee, any extra claims fees or the surcharge to deposit account number 02-2135. An additional copy of this letter is enclosed for that purpose.

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